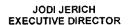
## ORIGINAL

## NEW APPLICATION





SECURITIES DIVISION
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COMMISSIONERS
SUSAN BITTER SMITH, Chairman
BOB STUMP

**BOB BURNS** 

**DOUG LITTLE** 

TOM FORESE

## ARIZONA CORPORATION COMMISSION

## MEMORANDUM

TO:

Docket Control

FROM:

Matthew J. Neubert, Director

Securities Division

DATE:

April 20, 2015

RE:

Opening of Generic Docket

S-00000E-15-0129

3-000006-13-0123

On behalf of Commissioner Forese, please open a generic docket with the following caption:

IN THE MATTER OF THE COMMISSION'S INQUIRY INTO POSSIBLE RULEMAKING TO AMEND THE COMMISSION'S SECURITIES REGULATION INVESTMENT MANAGEMENT RULES RELATED TO LICENSING EXEMPTION FOR INVESTMENT ADVISERS TO PRIVATE FUNDS.

Arizona Corporation Commission DOCKETED

APR 2 0 2015

DOCKETED BY

N